

Electronic Acknowledgement Receipt

EFS ID:	11341668
Application Number:	08868200
Patent Number:	5963923
Confirmation Number:	3145
Petition Issued Date:	November 4,2011
Title of Invention:	SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER
First Named Inventor/Applicant Name:	HOWARD B. GARBER
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Filer:	Herbert V. Kerner
Filer Authorized By:	
Attorney Docket Number:	8406/4
Receipt Date:	04-NOV-2011
Filing Date:	03-JUN-1997
Time Stamp:	16:31:15
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 4005
RAM confirmation Number	13846
Deposit Account	
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition automatically granted by EFS	petition_garber_maitenace.pdf	842199 e5704b91448b8db89528cbdd6331d038d44aa3db	no	3

Warnings:

Information:

2	Fee Worksheet (SB06)	fee-info.pdf	32291 ce0806edd99aa4109b7f0080f687ebd0dba188a8	no	2
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Warnings:

Information:

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

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